



3621
Jh

Docket No. CF/027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Andrew C. Gilbert
Application No. : 09/995,698 Confirmation No.: 2615
Filed : November 29, 2001
For : SYSTEMS AND METHODS FOR LINKING
BIDS AND OFFERS IN A TRADING INTERFACE
Group Art Unit : 3621
Examiner : Not yet known

New York, New York
August 25, 2004

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Second Supplemental
Information Disclosure Statement in the above-identified
application. This Statement is submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing
date but before the mailing date of the first
Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Second Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,




Jared Kneitel
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Agent for Applicant
FISH & NEAVE
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1251 Avenue of the Americas
New York, New York 10020
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I hereby certify that this
Correspondence is being
deposited with the U.S.
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Class Mail in an envelope
Addressed to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450 on

August 25, 2004

Lillian Garcia


Signature of Person Signing



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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicant wishes to call the attention of the Examiner to:

U.S. Patent 5,845,266 Lupien et al. 12/1/1998


A copy of the aforementioned reference, which is listed
on the accompanying Form PTO-1449 (submitted in duplicate), is
enclosed herewith.

It is respectfully requested that this reference be:
(1) fully considered by the Patent and Trademark Office during

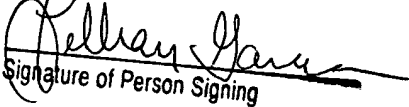
the examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.

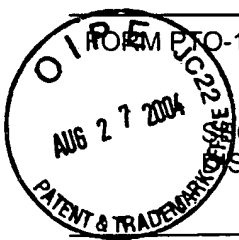
Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,



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Lillian Garcia

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEDOCKET NO.
CF/027APPLICATION NO.
09/995,698SECOND SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANTAPPLICANT
Andrew C. GilbertCONFIRMATION NO.
2615FILING DATE
November 29, 2001GROUP
3621

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,845,266	12/01/98	Lupien et al.	705	37	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.